

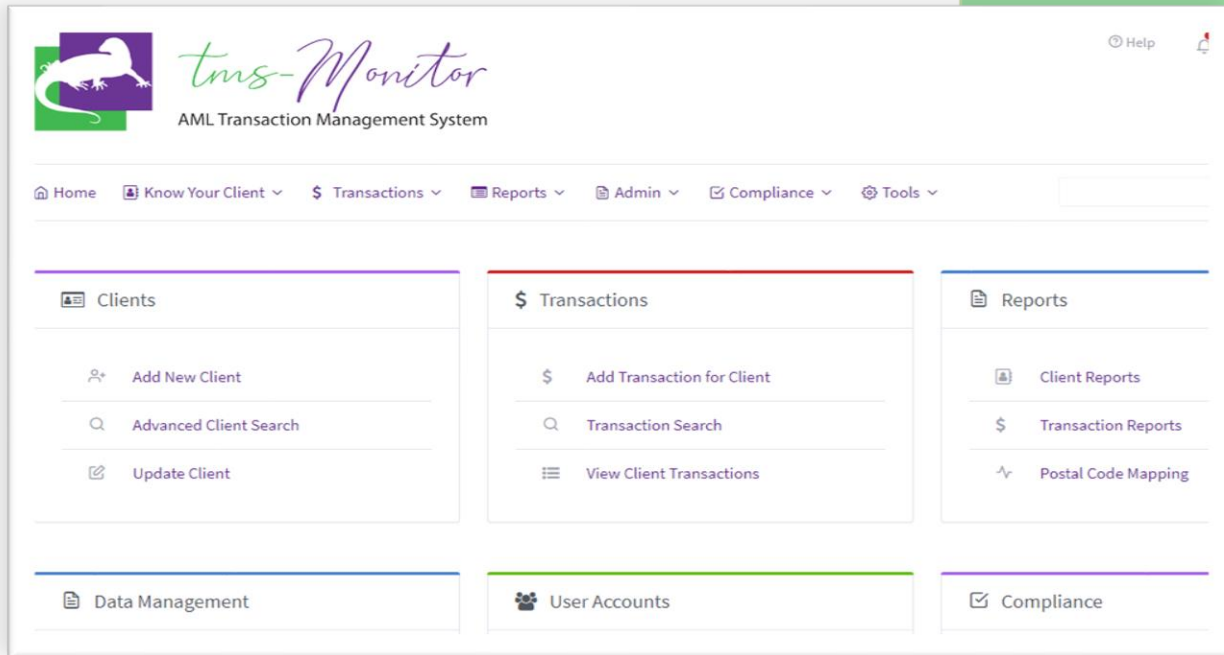


## tms-Monitor

AML Transaction Management System

tms-Monitor BROCHURE v.52022a

*Easing the burden of  
FINTRAC compliance  
for small and medium  
Canadian MSBs*



### **tms-Monitor**

*By Kinsella Computer Consultants Inc.*

*tms-Monitor* is a software system that helps you achieve compliance with FINTRAC requirements efficiently and confidently - and in a package that is affordable and easy to implement.

### **Eliminate complicated systems - boost efficiency**

By consolidating fragmented data systems onto a single platform, you can greatly improve efficiency.

### **Increase confidence in your compliance regime**

*tms-Monitor* is a centrally accessed system that encourages the collaboration of your front and back-office staff while reducing the risk of errors associated with running independent systems.

### **KEY BENEFITS**

#### **INCREASE EFFICIENCY**

Speed-up FINTRAC related client processing

Reduce time to prepare reports for reviews and audits

Quickly and easily export data for required reporting

#### **INCREASE CONFIDENCE**

Know your clients with detailed customer data and insights

Enhance your monitoring with client risk level ratings

Keep up to date - schedule intervals for client reviews

## KEY FEATURES

The following describes how *tms-Monitor* can help your Money Services Business achieve compliance with PCMLTFA legislation, and FINTRAC record keeping requirements.

### KNOW YOUR CLIENT

Facilitate detailed record keeping for all clients that businesses are required to identify and track.

Notify staff when client identification details must be re-checked or verified.

Track business data such as the director(s) names and business address when clients are entities or corporations.

Track third party transaction details and specify Beneficiary of transaction when required.

Keep a history of business relationship notes.

Archive inactive low risk transaction records after 5 years.

### ONGOING MONITORING

Maintain a history of all ID changes and verification over time.

Warning messages to staff when client identification has expired.

Alerts can be attached to client records and displayed with the client identification.

Provide Compliance Officers with the ability to schedule Client and Business Relationship reviews and Risk Assessments.

Set interval for warnings to review client information for low-risk and high-risk clients.

Transaction Assessments include 24-hour rule.

### RISK ASSESSMENT

Graphically analyze patterns in client transactions with respect to amount and frequency of transactions.

Allow staff to indicate the reason for tracking the client transaction (min transaction amount, foreign currency exchange, large cash transaction or suspicious transaction).

Filter reports based on assessed risk level, reason for tracking, number of transactions within a time frame, etc.

### REPORTING MADE EASY

Easily search for specific transaction details and print out reports to prepare for:

- FINTRAC Examinations
- Biennial Effectiveness Reviews
- Annual Assessments
- Batch exports for FINTRAC Suspicious Transaction Reporting or submissions to external ID verification or screening programs

### SOFTWARE SPECIFICATIONS

Multi-user, password secured, customizable application, with encrypted private data.

Can be installed on a:

- Secure hosted server of your choice
- Secure server located in your office
- Workstation





## CUSTOMIZABLE TO YOUR MSB

Maintain your own lists of Currencies, Methods of Payments/Remittance and Client Identification Types.

Set Risk Assessment frequency and schedule client review periods for high-risk clients.

## SOFTWARE PLANS

Two levels of software plans give you the option of full setup or DIY install on your local or hosted Web server.

*tms-Monitor* is not a SAAS: your software license entitles you to use the software as long as you like (see EULA for details). Updates and Upgrades available for purchase as released.

## SYSTEM REQUIREMENTS

Apache Server with PHP 7.4 or higher installed.

MySQL Database installed with permissions to create and execute database routines/procedures.

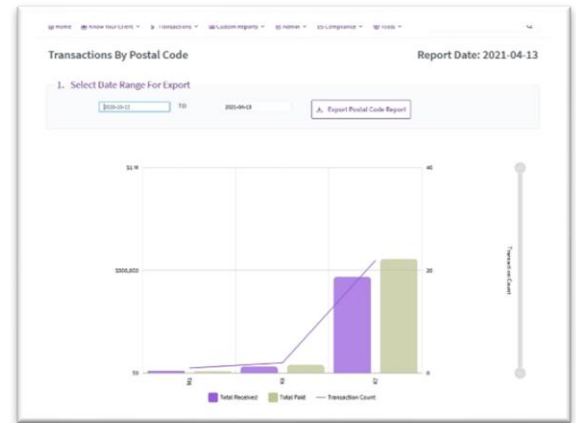
Browsers include Chrome, Firefox, MS Edge (current versions as of 2019 or later) with JavaScript enabled.

## ADDITIONAL SERVICES AVAILABLE

**Data Transfer:** Have our support team transfer data to your new *tms-Monitor* database. Call now for an estimate.

**Customization:** Our support team can modify the software according to the specific needs of your business or industry sector. Call today for an estimate.

## CUSTOMIZED REPORTING



Graphically view transaction activity and amounts by Postal Code.

Quickly find high risk clients or flagged transactions.

Identify clients with missing Nature of Business Relationship details, or expired IDs.

Customize dates, filters, report types, and much more...

## FASTER REVIEWS AND AUDITS

Change logs provide a fast and easy way to demonstrate FINTRAC due diligence during annual reviews and Audits.

**Schedule a demo...**

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